

REMARKS

Claims 2-5 and 7-13 are pending. Claims 2-4 are amended, claim 6 is canceled and new claims 12 and 13 have been added.

Support for the polyolefin chain (A1) in claims 3 and 4 can be found at page 23, lines 14-16 of the specification.

Support for the linking group X³ of claim 4 can be found in the second full paragraph on page 138 of the present specification.

New claims 13 and 14 have been added to clarify that the polar moieties are more polar than a polyolefin. There is no explicit support for this amendment in the specification, but it is clear from each and every recitation of the term "polar" in the specification, that the term "polar" is used to define the relative polarity of the particular moiety to that of a polyolefin.

No new matter has been added by way of the above-amendment.

[II] Interview

Applicants note with appreciation that the Examiner has conducted an Interview with Applicants' representative, Garth M. Dahlen, Ph.D., Esq. (#43,575) on February 21, 2007. The Examiner was very helpful in clarifying the outstanding issues. Details of the Interview are provided below in the order in which the issues are set forth in the outstanding Office Action.

[III] Issues under 35 USC 112 (paragraph two)

Claims 2-11 stand rejected under 35 USC 112 (paragraph two) as not distinctly claiming the invention. The Examiner takes issue with the use of the term "polar". Applicants respectfully traverse the rejection.

During the February 21, 2007 Interview, the Examiner asked for an art recognized definition of the term "polar."

The term "polar polymer" in claims 1-11 in the present invention is defined as a polymer which have a polar segment, and is obtained by homopolymerizing or copolymerizing various

polar monomers. (See page 3, second paragraph in the description of the present invention). Same or similar definition sometimes appeared already in the published articles and patent such as:

- 1) K. Matyaszeewski et al., *Journal of Macromolecular Science Part A - Pure and Applied Chemistry*, Vol. A39, No. 9, pp. 901-913 (2002);
- 2) T. Matsugi et al., *Journal of Polymer Science: Part A: Polymer Chemistry*, Vol. 41 3965-3973 (2003);
- 3) Y. Inoue et al., *ibid.*, Vol. 42, 496-504 (2004); and
- 4) WO 03/078317A (Applicant; Carbon Nanotechnology, Inc).

These references were attached to the August 24, 2006 Supplemental Amendment for the Examiner's review.

Also, the website http://en.wikipedia.org/wiki/Chemical_polarity#Polarity_of_molecules (August 7, 2006) defines "Polarity of molecules" as follows:

A compound is comprised of one or more chemical bonds between atoms. The polarity of each bond within the compound determines the *overall polarity* of the compound: how polar or non-polar it is. A polar molecule contains polar bonds - bonds which have unequal sharing of electrons between the two atoms involved in bonding. A non-polar compound contains non-polar bonds - bonds which have identical or similar sharing of electrons.

However, a compound's symmetricity and net polarity must also be considered when determining the polarity of the overall molecule. Even if a compound contains only polar bonds, it may be non-polar overall as the direction of the polarities cancel each other out, giving the molecule a net polarity of zero. This occurs in boron trifluoride, which contains three identical polar bonds all cancelling each other out due to their symmetrical arrangement. Trigonal planar, tetrahedral and linear bonding arrangements often lead to symmetrical, non-polar molecules which contain polar bonds.

Accordingly, the terms "polar" and "nonpolar" are art-recognized and do not render the claims indefinite as alleged by the Examiner. As such, withdrawal of the rejection is respectfully requested.

In addition, Applicants have added new claims 12 and 13 for the Examiner's further consideration. These claims indicate that the polar polymer (side) chains are "more polar" than the polyolefin (side) chains. During the February 21 Interview, Dr. Dahlen discussed this definition of the term "polar" with the Examiner. The Examiner extensively reviewed the specification for written description support for this definition of "polar." It is true that there is no explicit support for this definition in the specification, but it is clear from each and every recitation of the term "polar" in the specification, that the term "polar" is used to define the relative polarity of the particular moiety to that of a polyolefin. The Examiner was leaning towards agreeing with Dr. Dahlen that there is sufficient implicit support for this definition, but the Examiner required further time to consider this matter and as such would not make a final determination during the Interview.

The Examiner is now invited to further consider this definition and it is hoped that the Examiner will agree that claims 12 and 13 do not include new matter.

Once the Examiner finds that there is sufficient written description support in the specification for claims 12 and 13, the Examiner is respectfully requested to consider that the MPEP sanctions such relative terminology as complying with 35 U.S.C. § 112, second paragraph. Stated another way, the fact that claim language, including terms of degree, may not be precise, does not automatically render the claim indefinite. Acceptability of the claim language depends on whether one of ordinary skill in the art would understand what is claimed, in light of the specification. See MPEP 2173.05(b).

MPEP 2173.05(b) also refers to the specific court case of *Orthokinetics, Inc. v. Safety Travel Chairs, Inc.*, 806 F.2d 1565, 1 USPQ2d 1081 (Fed. Cir. 1986) which is relevant to the present case. In this case, a claim limitation specifying that a certain part of a pediatric wheelchair be "so dimensioned as to be insertable through the space between the doorframe of an automobile and one of the seats" was held to be definite. The phrase "so dimensioned" was not

improper even though the size of the wheelchair depended upon the space between the doorframe and the seat and that space was not defined.

In new claims 12-13, the polar segments are described as being more polar than the polyolefin segments. Applicants respectfully submit that new claims 12 and 13 fully comply with the requirements of 35 USC 112, second paragraph.

[III] Issues Under 35 U.S.C. 112, first paragraph

Claims 2 and 5-11 are rejected under 35 U.S.C. 112, first paragraph. Applicants respectfully traverse the rejection.

The Examiner alleges that Applicants' amendment to claim 2 adds "new matter" to the disclosure. Specifically, the Examiner objects to the description that X¹ *"may be substituted with hydroxyl group, halogen atom or carboxyl group."*

In response, Applicants have amended claim 2 by deleting the phrase the Examiner finds adds new matter.

Accordingly, withdrawal of the rejection is respectfully requested.

[IV] Prior Art Based Issues

The following rejections remain:

- (1) Claims 2 and 5-11 stand rejected under 35 USC 102(b) as being anticipated by Matyjaszewski '473 (D1);
- (2) Claims 2-4 stand rejected under 35 USC 103(a) as being unpatentable over Saito et al '414 (D2) in view of Wunsch '866 (D3) or Stephens '454 (D4);
- (3) Claims 2-11 stand rejected under 35 USC 102(b) as being unpatentable over Janssen et al '542 (D5);
- (4) Claims 2-11 stand rejected under 35 USC 102(e) as being unpatentable over Kennedy et al '354 (D6); and
- (5) Claims 2-11 stand rejected under 35 USC 102(e)/103(a) as being unpatentable over Kennedy et al '022 (D7).¹

¹ D1; US200210183473A [Matyjaszewski]

Applicants respectfully traverse all of the rejections.

With respect to D1, the proposed amendment to claim 2 only encompasses the following multibranched polymers:

Case #	P ²	P ³
1	A ⁴	A ²
2	A ⁴	A ³
3	A ⁴	A ⁴

D1 only discloses a polypropylene based macromonomer with methyl methacrylate. Using the inventive identifiers, the polymer of D1 is equivalent to (A⁴)-X1-(A¹). This combination is no longer claimed based on the above-amendment. Furthermore, claim 2 no longer recites a polyolefin chain.

During the February 21 Interview, the Examiner indicated that the above-amendment to claim 2 distinguishes the present invention from the portion of D1 that the Examiner cites in the outstanding Office Action.

As such, there are clear patentable distinctions between the present invention and the teachings of D1.

With respect to D2, D3 and D4, Applicants believe that the above-amendment to claims 3 and 4 overcomes these rejections. Specifically, the amendment limiting (A1) to being "obtained by homopolymerizing or copolymerizing at least one of ethylene, propylene, 1-butene, 1-hexene and 1-octene" distinguishes the present invention from these references.

During the February 21 Interview, the Examiner took the position that the amendment to claims 3 and 4 are sufficient to remove the rejection with respect to these claims. With respect to claim 2, the Examiner indicated that the amendment to claim 2 would be sufficient to overcome

D2; US4292414 [Saito]
D3; US6162866 [Wunsch]
D4; US6759454 [Stephens]
D5; EP0856542 [Janssen]
D6; US2003/0236354A [Kennedy]
D7; US2003/0204022 [Kennedy]

the rejection, but the Examiner requested that Applicants clarify for the record that the ether group (of X¹ in instant claim 2) is not a silyl ether.

In accordance with the Examiner's request, Applicants attach hereto page 221 of Grant & Hackh's Chemical Dictionary (5th edition, McGraw-Hill, Inc., 1987) and pages 104-105 of Hampel et al.'s Glossary of Chemical Terms (2nd edition, Van Nostrand Reinhold Company, Inc., 1982). Both of these references define an ether as having an oxygen atom bonded to two carbon atoms.

Furthermore, the Examiner's attention is directed to page 58 of the present specification which includes structures of preferred embodiments of the invention for X¹. The Examiner will note that in every instance where an ether moiety is shown, there is an oxygen bonded to two carbon atoms.

Since Applicants' disclosure is consistent with the art recognized definition of the term "ether," it is clear that the present inventors did not intend to include a silyl ether to be encompassed by the term "ether."

As such, there are clear patentable distinctions between the present invention and the teachings of D2, D3 and D4.

With respect to D5, Applicants believe that the above-amendment to claims 3 and 4 overcomes this rejection. In claim 3, the linking group X² has been amended to recite that it contains "less than 200 atoms in total and **comprising is selected from the group consisting of** i) at least two ether moieties, ii) at least two ester moieties or iii) at least one ether moiety and at least one ester moiety." In claim 4, the group X³ can no longer be derived from a multifunctional low-molecular compound having an amino group. X³ is now defined as being a linking group of less than 200 atoms consisting of a multifunctional low-molecular compound residue derived from a multifunctional low-molecular compound selected from halogenated silane, metal halide, alkyl aluminum, glycerin, pentaerythritol, D-glucitol, quercitol, inositol, trihydroxybenzene, hexahydroxybenzene, and carboxylic anhydride. As such, there are clear patentable distinctions between the present invention and the teachings of D5.

With respect to D6 and D7, Applicants believe that the above-amendments to claims 2-4 overcomes this rejection. Both D6 and D7 describe a calix[n]arene bonded to a polyisobutylene (PIB) copolymer. The Examiner will note that the claims 2 and 3 are distinct because they define the polyolefin in a manner that does not include PIB.

The Examiner has taken the position that the PIB-PAN copolymer of D6 (see 0036 and 0037 of D6) and the PIB-PDMAEMA, PIB-PAN, PIB-PMMA and PIB-PMAA copolymers of D7 (see 0070+ of D7) meet instant claim 4. The Examiner has taken the position that polymers (such as PAN) which are bonded to the PIB group render the whole copolymer a polar polymer chain as defined by (A3) of instant claim 4.

In response, Applicants have amended claim 4 so that X³ is now defined as being a linking group of less than 200 atoms consisting of a multifunctional low-molecular compound residue derived from a multifunctional low-molecular compound selected from halogenated silane, metal halide, alkyl aluminum, glycerin, pentaerythritol, D-glucitol, quercitol, inositol, trihydroxybenzene, hexahydroxybenzene, and carboxylic anhydride. As such, there are clear patentable distinctions between the present invention and the teachings of D6 and D7.

In view of the above amendment, applicant believes the pending application is in condition for allowance.

Should there be any outstanding matters that need to be resolved in the present application, the Examiner is respectfully requested to contact Garth M. Dahlen, Ph.D., Esq. Reg. No. 43,575 at the telephone number of the undersigned below, to conduct an interview in an effort to expedite prosecution in connection with the present application.


Application No. 10/797,144
Amendment dated March 2, 2007
After Final Office Action of November 3, 2006

Docket No.: 1188-0118PUS1

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37.C.F.R. §§1.16 or 1.14; particularly, extension of time fees.

Dated: March 2, 2007

Respectfully submitted,

By  #43575
Marc S. Welner
Registration No.: 32,181 for
BIRCH, STEWART, KOLASCH & BIRCH, LLP
8110 Gatehouse Road
Suite 100 East
P.O. Box 747
Falls Church, Virginia 22040-0747
(703) 205-8000
Attorney for Applicant

Attachments: 1) page 221 of Grant & Hackh's Chemical Dictionary (5th edition, McGraw-Hill, Inc., 1987) and
2) pages 104-105 of Hampel et al.'s Glossary of Chemical Terms (2nd edition, Van Nostrand Reinhold Company, Inc., 1982)

GRANT & HACKH'S CHEMICAL DICTIONARY

[*American, International, European and British Usage*]

*Containing the Words Generally Used in Chemistry,
and Many of the Terms Used in the Related
Sciences of Physics, Medicine, Engineering,
Biology, Pharmacy, Astrophysics,
Agriculture, Mineralogy, etc.*

Based on Recent Scientific Literature

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Completely Revised and Edited by

ROGER GRANT

M.A., D. de l'U., Ph.D., C. Chem., M.R.S.C. Consultant

CLAIRE GRANT

M.B., B.S., M.R.C.P.E. Medical Practitioner

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The previous edition of this book was *Hackh's Chemical Dictionary*,
4th ed., published by McGraw-Hill in 1969. It was prepared by Dr.
Julius Grant from a *Chemical Dictionary* compiled by Ingo W. D.
Hackh. The current, or 5th, edition of this book was prepared by Dr.
Roger L. Grant, whose father prepared the 4th edition.

*The editors for this book were Betty J. Sun and Susan Thomas,
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supervisor was Teresa F. Leaden. It was set in Palatino
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Index o
Preface
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Explan
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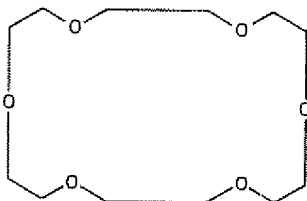
~ 1-naphthyl
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ly volatile liquid

al -COX; X is a

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etherin Ethylin e. theory A theory of the constitution of organic compounds (Dumas and Boullay, 1828)
etherion A supposed element. at. wt. 0.001. expelled from substances at high temperatures and low pressures.
etheron Aetheron A supposed particle of the ether. smaller and faster than an electron; a mass of $\frac{1}{17} \times 10^9$ that of hydrogen. speed 473,000 km/s
etherophosphoric acid Ethyl phosphate
ethersulfuric acid Ethyl hydrogensulfate*.
ethers (1)* Compounds of general formula R-O-R. Indicated by the name ether or by the infixes -oxy- or -oxa-. (2) The halogen derivatives of alkyl and aryl radicals, as R Cl, and the esters of inorganic or organic acids. as R NO₂, are both sometimes incorrectly called ethers. complex ~, compound ~ (1) Esters*. (2) Mixed e crown ~ Polyethers, of a shape resembling a crown. Form complexes with metal ions, as: 15-crown-5- ~ (CH₂ CH₂ O)₅ = 220 3 b₂116. 18-crown-6- ~ (CH₂ CH₂ O)₆ = 264 3 m 39



cyclic ~ E. in which the initial C atom in the series is linked directly to the oxide O; as, ethylene oxide. CH₂ CH₂ O

haloid ~ Alkyl or aryl halides mixed ~ Compound.
Alkyl or aryl ethers with 2 different radicals simple ~
Alkyl or aryl ethers having 2 like radicals. R O R thio ~
Alkyl or aryl sulfides in which the e oxygen is replaced by sulfur Cf thiols

ethide A compound of the ethyl radical and a metal; as, diethylplumbane* (lead ethide), Et₂Pb

ethidine The ethylidene* radical.

ethine Acetylene* e. series Alkynes*.

ethinyl The ethynyl* radical. e. estradiol C₂₀H₂₄O₂ = 296 4. Feminone, Lynoral White crystals, m 144, insoluble in water. An estrogen component of many oral contraceptives. Used to treat menopausal symptoms and other conditions due to estrogen lack (USP, EP, BP).

ethiodized oil Iodized oil. Lipiodol. A sterile iodine addition product of vegetable oils, usually made by treating poppyseed oil with hydriodic acid (38-42% of organically combined iodine) A radiopaque medium (USP, BP)

ethionamide C₆H₁₀N₂S = 166 2 2-
Ethylthioisonicotinamide Yellow crystals, m 163, insoluble in water. Antituberculous agent, used when bacteria are resistant to drugs

ethionic acid HO SO₂ CH₂ CH₂ SO₂OH = 109 2

Ethylenedisulfonic acid, known only in solution Cf isethionic acid amino ~ Taurine*

ethiops mineral Black mercurous sulfide

ethisterone C₂₁H₂₈O₂ = 312.5. Pregneninolone, anhydrohydroxyprogesterone. Oraluton White crystals, darkening in light, m 274 (decomp.), insoluble in water; a progestational hormone (BP)

ethocaine Procaine hydrochloride

Ethocel Trademark for ethylcellulose

ethohexadiol C₆H₁₆O₂ = 146 2 2-Ethyl-1,3-hexanediol*

Colorless oil. soluble in water. distills 240-250; an insect repellent

etholide A tertiary lipid formed from alcohol acids by the esterification of the hydroxyl group of one with the carboxyl group of the other molecule

ethopropazine hydrochloride C₁₉H₂₄N₂S HCl = 348 9
White, bitter crystals, slightly soluble in water. Used for Parkinson's disease (USP, BP)

ethosuximide C₇H₁₁O₂N = 141 2 2-Ethyl-2-methylsuccinimide. Zaronin White powder, m 46, soluble in water; an anticonvulsant used for petit mal epilepsy (USP, BP)

ethoxalyl* Ethoxyoxacetyl† The radical EtOOC CO-
ethoxide Ethanolate*.

ethoxy* The radical C₂H₅O-, from ethanol. e acetic acid Ethylglycolic acid* e acetone MeCOCH₂OEt = 102 1
Colorless liquid b 128: a solvent e aniline C₆H₁₁ON = 137 2 Colorless liquid, d₂₀ 1.11, b 286, sparingly soluble in water e butyric acid Ethylhydroxybutanoic acid. e caffeine C₁₀H₁₄O₃N₄ = 238 2 Colorless crystals, m 140 Slightly soluble in water; a narcotic. e carbonyl* The radical EtOOC- e catechol C₆H₁₀O₂ = 138 2 A homolog of guaiacol. e oxoacetyl† See ethoxalyl

ethoxyl The ethoxy* radical

Ethyl (1) (cap) Trademark for an antiknock compound to prevent or reduce knocking in internal-combustion engines. Also a trademark for other products not necessarily associated with fuels or internal-combustion engines. See Ethyl gas (2)* (not cap) The radical C₂H₅- or Et-, from ethane

N-e. acetamide* MeCONHEt = 87 1 Colorless liquid, b 200; used in organic synthesis e acetate* Me COOEt = 88 1

Acetic ether, acetic ester. acetidin Colorless liquid, m -82, b 77, slightly soluble in water. Used as a reagent in organic synthesis, as a solvent for lacquers, in the separation of dyes, and as a flavoring in pharmacy (NF) e acetoacetate*

MeCOCH₂COOEt = 130 1 Acetoacetic ester, diacetic ether Colorless liquid, b 181, slightly soluble in water; a solvent e acetylene Butyne* e acid phosphate See e phosphate below.

e acid sulfate E hydrogensulfate* e acrylate C₃H₅O₂ = 100 1 Colorless liquid, b 99 e alcohol Ethanol* e aldehyde Acetaldehyde* e allyl C₃H₇O = 70 1 Colorless liquid, b 70 e amine* EtNH₂ = 45 08. Ethamine.

aminoethane A ptomaine from putrefying yeast and wheat flour Colorless liquid, b 17, miscible with water e amino* The radical EtNH-, from ethylamine e aminoacetate*

NH₂CH₂COOEt = 103 1 Ethylglycine. e glycol e. aminobenzoate Benzocaine N-e. aminobenzoic acid EtNH C₆H₄ COOH = 165 2 Colorless prisms, m 112, slightly soluble in water e aniline See ethylaniline under aniline

anthracene C₁₄H₁₀ = 152 2 Colorless scales, m 60, insoluble in water e dihydro ~ C₁₆H₁₆ = 208 3 Colorless oil. m 320, insoluble in water. e arsine dichloride* EtAsCl₂

= 174 9. Dick A liquid. d 1.66, b 155; a vesicant and lung irritant, formerly a war gas e benzene See ethylbenzene under benzene e benzoate* PhCOOEt = 150 2. Colorless liquid, b 213, slightly soluble in water e benzoic acid See ethylbenzoic acid under benzoic acid. e benzoylacetate

PhCOCH₂COOEt = 192 2 Benzoyl acetic ester Colorless liquid, b 267, insoluble in water. e benzylaniline Ph N Et (CH₂Ph) = 211.3 Ethylbenzylphenylamine b_{710mm} 285 e borate A salt of ethanol and boric acid e orthoborate B(OEt)₃ = 146 0 Boron triethoxide, triethyl borate

Colorless, flammable liquid e metaborate (EtO)₂(BO)₂ = 143 7 Colorless, heavy liquid e pyroborate EtB₃O₅ = 141 5. E borate A colorless, gummy mass e boric acid EtB(OH)₂ = 73 9 White crystals, sublime 40, soluble in

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PREFACE TO F

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Dr. Samuel Johnson, who compiled the first *Dicti* people need less to be informed than to be remind comfort and hope to all who have undertaken to pr It applies with particular force to the authors of th by two additional definitions

The first is that of the word *definition* itself. Pri to the meaning of terms and expressions. In chemi than done, for there is no predetermined way in w quite satisfactory to one person may be only the b to another. The inherently tricky nature of words is different meanings even within the framework of a s carefully without obscuring their underlying relatio.

A useful definition should certainly tell *what* a appropriate example or two; but to explain *why* it the ultimate reason seeming to retreat in an endles limits not only to the terms themselves but also to t definitions are intended. Since definitions that a b would be of little value to a professional chemist, i of knowledge and experience of his expected audie.

The second definition concerns the word *glossar* field of knowledge, as opposed to *dictionary*—a r presenting intensive coverage of the terminology of

This Glossary is intended for those who have t source of review information. Superficial though it chemical definitions that serves this need. The sever and highly useful volumes which have established selves in respect to emphasis and treatment and engineers, and industrial technologists. They are of those without considerable background in chemistr.

The emphasis in this Glossary has been placed c

- (a) All major chemical classifications, e g , alc gum, resin, wax, etc.
- (b) All important functional terms, e g , cat; tiooxidant, etc
- (c) Basic phenomena and processes, e g , ox tation, distillation, filtration, vapor pressu
- (d) All the chemical elements, both natural ai
- (e) The most important compounds, e g , amr acid, etc. (the number of these has been j
- (f) General terms, e g , acid, base, indicator
- (g) Biographies of outstanding past contribut

used in lasers, magnetic alloys, and similar devices. It forms compounds with halogens and also appears as the nitrate, phosphate, carbonate, and acetate. See yttrium; terbium.

ergosterol. A member of the biochemically active steroid family of compounds and a precursor of vitamin D₂, or calciferol, to which it is converted by exposure to ultraviolet radiation. It is known as provitamin D₂. Both the compound and its name are derived from the fungus *Claviceps purpurea*; it also can be formed from sugars by the action of yeast. Its primary function in the body is to catalyze the deposition of calcium in the bones and teeth (as suggested by the name calciferol). It occurs in yeast and fish-liver oils and also in sterol; vitamin.

Erlenmeyer flask. A useful type of laboratory glassware; it is an open container whose dimensions are, for example, about 8 inches tall, with a relatively narrow neck section about 1½ inches in diameter and 2 inches long, below which the contour becomes cone-shaped. The bottom is flat. It is used for numerous experiments involving liquids, especially titrations and active testing. It was named after its inventor, E. M. Erlenmeyer.

Erythrocyte. The most vital component of mammalian blood, chiefly composed of the protein-complex called hemoglobin and commonly known as the red cell of the blood. Erythrocytes can be removed from blood by centrifugation, leaving plasma. See also blood.

Einsteinium. Symbol for the element einsteinium, the name being assigned in honor of Albert Einstein, an American scientist (German-born) (1879-1955), Nobel Prize 1921.

Essential oil. (1) An amino acid, vitamin, or fatty acid that is not synthesized by the animal organism but must be obtained externally, that is, ingestion of plant products of one type or another. See also amino acid.

(2) An oil distilled from the flowers, leaves, stems of certain plants; in a figurative sense it represents the "essence" of the plant and does not imply necessity or need. See also essential perfume.

Essential oil. A nonfatty oil with a strong, usually pleasant, odor and taste, obtained from flowers or other parts of plants by solvent extraction or steam distillation. Terpenes are the chief components of many essential oils; others are

mixtures of aldehydes, acids, alcohols, and the like, e.g., benzaldehyde and hydrocyanic acid occur in oil of bitter almond. Essential oils are subject to evaporation, in contrast to fixed vegetable oils, which are not. They are obtained from a wide variety of plant life, some having such exotic names as oil of roses, neroli oil, ylang-ylang oil, geraniol, rose otto, patchouli oil, and citrus peel oils. They are used in perfumes, odorants, and food flavorings. An exception is turpentine oil, used chiefly as a solvent and paint thinner. The term "essential" refers to the distilled "essence" of a material, not to its importance. Though they are products of vegetation, essential oils are not classified as vegetable oils. See also vegetable oil; edible oil.

Ester. A compound that can be regarded as formed by the replacement of the acidic hydrogen of an inorganic or organic acid by an aliphatic, aromatic, or heterocyclic radical. The term usually has the connotation of a substance prepared from a carboxylic acid and an alcohol or phenolic hydroxy compound. The general formula for an ester is RCOOR. While the reaction ROH + RCOOH → RCOOR + H₂O appears analogous to the salt-forming acid-base neutralization of inorganic chemistry, its mechanism is different. By use of a tagged oxygen isotope, it has been shown that the oxygen of the coproduct water comes from the -OH group of the carboxylic acid and not from the alcohol. The reaction is of the condensation type and often requires a catalyst.

Esters are named in terms of the acids and alcohols from which they are formed. Acetic acid yields acetates; fatty acids give glycerides; butyric acid forms butyrates; and carbonic acid gives organic carbonates, such as dimethyl carbonate. Esters are of widespread occurrence and have a broad range of applications. Important types are cellulose esters (acetate, butyrate, propionate) for fibers and plastics; phthalic acid esters for plasticizers; vegetable and animal waxes, which are alkyl esters of monocarboxylic acids; and polyester and alkyl resins, from dicarboxylic acids and dihydric alcohols. Ester formation (esterification) is an important and frequently used reaction in synthetic organic chemistry. See also polyester.

Ester gum. An artificial product made by reacting rosin with glycerol or other polyhydric alcohol. It is actually a resin rather than a gum.

It is used as an ingredient of industrial cellulosic lacquers and in special paint formulations. It is soluble in most organic solvents.

Esterification. See ester; acetate.

Estrogen. Collective term for naturally occurring steroid compounds formed in the ovary; they are also made synthetically. Estrogens have hormonal activity and are essential for normal female sexual development. Among the more important are estrone and estradiol. They can be obtained from the urine of pregnant animals and can be synthesized from other sterols. Estrogens have applications in the oral contraceptive field and for specialized medical purposes. See also antifertility agent.

e.s.u. Abbreviation for electrostatic unit.

Et. Symbol often used in chemical formulas for the univalent ethyl group, C₂H₅.

ethanal. See acetaldehyde.

ethane. A saturated aliphatic hydrocarbon gas, one of the seven basic petroleum-derived gases. It is the second member of the homologous series (paraffins) which starts with methane; its formula is C₂H₆. Like other gases of its type, it is extremely flammable. It is used as a source of ethylene and in general organic synthesis, as a fuel (in liquefied form), and as a refrigerant. It readily combines with chlorine to give, e.g., ethyl chloride. It is not particularly toxic. See also ethylene; natural gas.

ethanol. See ethyl alcohol.

ethanolamine. A syrupy yellowish liquid, b.p. 172°C (342°F), which has a strongly basic reaction, and thus is widely used to remove hydrogen sulfide and other acidic gases from synthesis gas. Its formula is HO(CH₂)₂NH₂. It is irritant to the eyes and skin and is considered toxic when inhaled. Ethanolamine and its derivatives di- and triethanolamine result from reacting ammonia with ethylene oxide. Other industrial applications are in the scouring of wool fibers, in dry-cleaning compounds, and for vulcanization of rubber.

ethene. See ethylene.

ether. A class of organic compounds characterized by the presence of an oxygen atom covalently bonded between two carbon atoms. If the organic groups containing carbon are represented by the letter R, the generalized formula of an ether is ROR. Ethers are derived either by removing water from alcohols (dehydration) or by hydration of olefins by means of a catalyst.

Most common ethers are liquids, and some are extremely flammable. The most prominent one is diethyl ether, $(C_2H_5)_2O$, b p $34.6^\circ C$ ($94^\circ F$), a valuable anesthetic first used in surgery in 1846; it is also a useful solvent and extraction medium. The ethers of ethylene glycol form a well-known group of useful solvents and plasticizers. There are a few solid ethers of cellulose. The term "petroleum ether" for petroleum-derived naphtha is a misnomer.

ethical drug. A drug obtainable on prescription, not offered for open sale.

ethyl. The univalent group, CH_3CH_2- , the second member of the homologous series of paraffinic hydrocarbon (alkyl) radicals; it is derived by dropping one hydrogen atom from ethane, CH_3CH_3 , and often appears in formulas as C_2H_5- .

When a second hydrogen atom is dropped from ethane, the divalent ethylene group is formed, $-CH_2CH_2-$. The corresponding olefin, $H_2C=CH_2$, is also called ethylene, and the two meanings of ethylene are sometimes confused in naming compounds. Like the methyl group, the ethyl group is present in thousands of organic compounds. *See also* ethylene; methyl.

ethyl acetate. A light, mobile liquid, b p $77^\circ C$ ($171^\circ F$), resulting from the esterification of ethyl alcohol with acetic acid, catalyzed by sulfuric acid; its formula is $CH_3COOC_2H_5$. It is very flammable and a possible explosion hazard. It is used in the manufacture of smokeless powder and is an excellent solvent in nitrocellulose lacquers; with alcohol, it will also dissolve cellulose acetate. It also has application in the manufacture of pharmaceutical products and as an organic intermediate. *See also* acetate.

ethyl alcohol. A liquid monohydric primary alcohol, b p $78.5^\circ C$ ($173^\circ F$), having the formula C_2H_5OH (or CH_3CH_2OH); it is also called ethanol, grain alcohol, or simply "alcohol." It is the most important organic solvent in use today; well over two billion pounds is manufactured annually. Beverage grades are made by fermentation of the sugars in fruits, molasses, and grains. It is classed as a depressant and has a low order of toxicity. Most industrial alcohol is made synthetically by catalytic cracking of hydrocarbons or by the Oxo process. It is used in numerous end-products (detergents, cosmetics, solvents, cleaning preparations) and as an intermediate in the manufacture of organic chemicals. Recent production from agricultural wastes

has made possible its expanding use as a motor fuel additive (gasohol); it may eventually replace gasoline, as is already the tendency in Brazil. Denatured grades contain certain noxious or toxic additives (often methyl alcohol) to prevent internal use. Ethyl alcohol is flammable and should be protected from ignition sources. *See also* denaturant; Oxo process; fermentation; gasohol.

ethylamine. A flammable and toxic liquid, b p $16.6^\circ C$ ($62^\circ F$), having the formula $CH_3CH_2NH_2$ and made by reacting ammonia with ethyl chloride; also called aminoethane. Though it has solvent properties, its chief uses in the chemical industry are as an intermediate for the synthesis of dyes and related organic compounds. It should be handled with caution. *See also* amine.

ethylbenzene. *See* aluminum chloride; Friedel-Crafts reaction.

ethycellulose. A thermoplastic product, insoluble in water; it is made by replacing about half of the hydroxyl groups of cellulose with ethoxy groups (OC_2H_5), derived from ethyl alcohol or similar compounds. It is thus a cellulose ether. Its major uses are in coatings for a broad range of industrial products (paper, textiles, wire and cable), and as an adhesive and binding additive in printing inks, pigments, and similar materials.

ethyl chloride. A saturated chlorinated hydrocarbon, C_2H_5Cl , b p $12^\circ C$ ($54^\circ F$), gaseous at room temperature but manufactured and transported in compressed form as a liquid. Like other compounds of this class, it is quite poisonous and extremely flammable and should be handled with caution and protected from exposure to static sparks or other flame source, which may cause explosion. It has solvent properties for organic materials and for certain elements such as sulfur and phosphorus; it is used in making tetraethyllead and as an insecticide base. *See also* chlorinated hydrocarbon.

ethylene. (1) An unsaturated aliphatic hydrocarbon (olefin), ethylene is obtained by thermal cracking of petroleum gases (butane, ethane, etc.), a process known as pyrolysis. Ethylene is one of the most prolific sources of synthetic organic chemicals and plastics. Its formula, $H_2C=CH_2$, is a reactive structure of far-reaching importance which occurs in many products besides ethylene, e.g., butadiene and isoprene. Ethylene (also called ethene) is a flammable and explosive gas, b p $-103.9^\circ C$ ($-155^\circ F$), from which a number of basic petrochemicals are derived by

catalytic processes, for example, ethyl alcohol, ethylene oxide, ethylene dichloride, and ethylene chlorohydrin. These in turn are intermediates for a wide range of synthetic organics, typical of which is the ethylene glycol family. Ethylene is also the parent substance of many elastomer and plastic products, e.g., polystyrene, polyethylene, ethylene-propylene rubbers, and polyester resins. Many of these can be cross-linked to form thermosetting plastics.

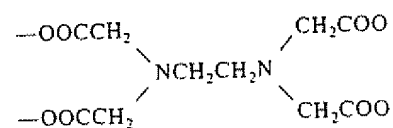
(2) The divalent group $-CH_2CH_2-$, formed when a hydrogen attached to each carbon atom of ethane, CH_3CH_3 , is replaced by another element or group, as in ethylene dichloride, $ClCH_2CH_2Cl$. *See also* polyethylene; ethylene glycol; ethyl.

ethylene bromide. *See* bromine.

ethylene chlorohydrin. Made by reacting ethylene with hypochlorous acid, this compound is an extremely toxic liquid which is readily absorbed by the skin, sometimes with lethal effect. Its formula is $Cl(CH_2)_2OH$, b p $128.8^\circ C$ ($262^\circ F$); it may be regarded as an alcohol in chemical constitution. It is used as a solvent for various cellulosic plastics and in the synthesis of other organic compounds including ethylene glycol and ethylene oxide. Great caution should be exercised in handling this material.

ethylenediamine. *See* ligand.

ethylenediaminetetraacetic acid. Often referred to by its abbreviation EDTA, this compound is one of the best-known and most effective complexing agents, coordinating strongly with metal ions to form chelates. It is commercially obtainable in the form of various salts (edetates) as, for example, tetrasodium EDTA. It forms stable compounds with metal ions; thus has the effect of deactivating them. It coordinates through no less than six linkages—nitrogen atoms and four carboxyl groups:



EDTA forms soluble complexes with iron, calcium, magnesium, iron, etc., and is used as a water-softening agent and detergent; it also has applications in electroplating, preparation